



**Comorbid anxiety moderates the relationship between depression history and prefrontal EEG asymmetry**

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Comorbid anxiety moderates the relationship between depression history  
and prefrontal EEG asymmetry

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## Abstract

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The internalizing spectrum of psychiatric disorders—depression and anxiety—are common, highly comorbid, and challenging to treat. Individuals with childhood onset depression have a particularly poor prognosis. There is compelling evidence that individuals with depression display reduced resting-state electroencephalographic (EEG) activity at sensors overlying the left prefrontal cortex, even during periods of remission, but it remains unknown whether this asymmetry is evident among individuals with a comorbid anxiety disorder. Here we demonstrate that women with a history of childhood onset depression and no anxiety disorder ( $n = 37$ ) show reduced left lateral-frontal activity compared to psychiatrically healthy controls ( $n = 69$ ). In contrast, women with a history of childhood onset depression and pathological levels of anxious-apprehension ( $n = 18$ ) - as indexed by a current Generalized Anxiety Disorder, Obsessive Compulsive Disorder, or Separation Anxiety Disorder diagnosis - were statistically indistinguishable from healthy controls. Collectively, these observations suggest that anxious-apprehension can mask the relationship between prefrontal EEG asymmetry and depression. These findings have implications for understanding 1) prefrontal EEG asymmetry as a neurophysiological marker of depression, 2) the comorbidity of depression and anxiety, and 3) failures to replicate the relationship between prefrontal EEG asymmetry and depression. More broadly, they set the stage for developing refined interventions for internalizing psychopathology.

## Introduction

Major depressive disorder (MDD) is the single largest burden on global public health (Collins, Patel, Joestl, et al., 2011; DiLuca & Olesen, 2014; Whiteford, Degenhardt, Rehm, et al., 2013), generating significant hardship and accounting for nearly \$40 billion in lost productivity annually (Kessler et al., 2006). These facts underscore the need for a deeper understanding of the neurobiology of depression. One approach has involved the identification of endophenotypic markers of depression risk. A well replicated finding in this area is that individuals with depression display reduced resting-state electroencephalographic (EEG) activity at sensors overlying the left prefrontal cortex (PFC) during both depressive and remitted states (Thibodeau, et al., 2006; Stewart, Bismark, Towers et al., 2010), suggesting that this asymmetric pattern of activity represents a state-independent or trait-like marker of depression.<sup>1</sup> Decreased left prefrontal activity prospectively predicts first onset of depression (Nusslock, Shackman, Coan, Harmon-Jones, et al., 2011) and treatment response (Bruder, Stewart, Tenke, McGrath, Leite, et al., 2001). It is associated with genetic risk for depression (Smit, Posthuma, Boomsma, De Geus, 2007), and has been observed in psychiatrically healthy offspring of individuals with depression (Dawson, Frey, Panagiotides, Osterling, & Hessler, 1997). Other work indicates that decreased left prefrontal activity reflects attenuated approach motivation, anhedonia, and reduced reward sensitivity (Coan & Allen, 2004; Davidson, 1998; Harmon-Jones, 2003; Shankman & Klein, 2003), all characteristic of depressive episodes.

Although MDD frequently co-occurs with anxiety disorders (Maser & Cloninger, 1990; Zimmerman, McDermet, & Mattia, 2000), few studies have examined whether comorbid anxiety moderates the relationship between a history of depression and prefrontal EEG asymmetry (although see Bruder, Fong, Tenke, Leite, et al., 1997; Kentgen, Tenke, Pine, et al., 2000). In the

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3 present study, we therefore examined resting-state prefrontal EEG activity in a relatively large  
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5 sample of women with a history of childhood onset depressive (COD) disorder (i.e., MDD  
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7 and/or Dysthymic Disorder) who either had a current anxious-apprehension diagnosis ( $n = 18$ ) or  
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9 no current anxiety diagnosis ( $n = 37$ ), and psychiatrically healthy controls ( $n = 69$ ). Consistent  
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11 with previous research (Barlow, 1991; Heller, Nitschke, Etienne, & Miller, 1997; Nitschke,  
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13 Heller, Palmieri, & Miller, 1999; Watson, 2005), we defined anxious-apprehension as excessive  
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15 worry for the future and verbal rumination about negative expectations, reflected in Generalized  
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17 Anxiety Disorder (GAD), Obsessive Compulsive Disorder (OCD), or Separation Anxiety  
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19 Disorder (SAD). We focused on COD, given its strong association with resting-state prefrontal  
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21 EEG activity (Shankman & Klein, 2003) and because individuals with COD are at very high risk  
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23 for recurrent depression and lifetime anxiety disorders (Kovacs, Obrosky, & George, 2016;  
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25 Maser & Cloninger, 1990).

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32 Using these data, we tested two competing hypotheses regarding the influence of  
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34 comorbid anxiety on prefrontal EEG asymmetry. The first hypothesis is that individuals with  
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36 COD with or without a comorbid anxious-apprehension diagnosis will both display reduced left  
37  
38 prefrontal activity compared to controls. Among the anxiety disorders, GAD has the greatest  
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40 phenomenological or structural affinity for depressive disorders (Krueger, 1999; Prenoveau et  
41  
42 al., 2010; Vollebergh, Iedema, Bijl, de Graaf, et al., 2001). Likewise, MDD and GAD are  
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44 genetically correlated (Kendler, Neale, Kessler, et al., 1992; Kendler, Prescott, Myers, & Neale,  
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46 2003). These observations suggest that MDD and GAD are more alike than different and should  
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48 exhibit a similar profile of decreased left prefrontal activity, and that the co-occurrence of  
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50 depression and GAD will tend to enhance this profile. Confirmatory findings would provide  
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52 neurophysiological support for the comparability between depression and anxious-apprehension  
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3 disorders, such as GAD, and structural models of depressive and anxiety symptoms, more  
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5 generally (Kruger, 1999).  
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8 The second hypothesis is that only COD individuals without a comorbid anxious-  
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10 apprehension diagnosis will show reduced left prefrontal activity at rest, and that COD  
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12 individuals with a comorbid anxious-apprehension diagnosis will not show this profile. Support  
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14 for this perspective comes from work by Heller, Nitschke, and colleagues (Heller, Nitschke,  
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16 Etienne, & Miller, 1997; Nitschke, Heller, Palmieri, & Miller, 1999) who examined frontal EEG  
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18 activity and two dimensions of anxiety: anxious-apprehension and anxious-arousal. Whereas  
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20 anxious-apprehension involves excessive worry for the future as often reflected in GAD, OCD,  
21  
22 or SAD, anxious-arousal is characterized by increased physiological activation and somatic  
23  
24 tension and is most evident among individuals with panic or phobic disorders. Results revealed  
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26 that participants high in self-reported anxious-apprehension display *increased*, rather than  
27  
28 *decreased*, left prefrontal activity (Heller et al., 1997), or no asymmetry (Nitschke et al., 1999).  
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31 Furthermore, individuals with sub-clinical symptoms of both depression and anxious-  
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33 apprehension failed to display decreased relative left prefrontal activity (Nitschke et al., 1999).  
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35 In short, research based on self-reported anxious-apprehension symptoms suggests that MDD  
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37 and anxious-apprehension should be associated with dissimilar profiles of prefrontal asymmetry,  
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39 and that the co-occurrence of depression and an anxious-apprehension disorder (GAD, OCD, or  
40  
41 SAD) should mask the relationship between depression and prefrontal EEG asymmetry. Results  
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43 in line with this hypothesis would suggest an important disconnect between an endophenotype  
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45 (frontal EEG asymmetry) and structural and genotypic models of depression and anxiety.  
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53 A second aim of our study was to clarify the nature of the relationship between anxious-  
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55 apprehension and left prefrontal activity. Heller, Nitschke, and colleagues proposed that elevated  
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3 relative left prefrontal activity in anxious-apprehension may reflect ruminative activity and  
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5 cognitive chatter in left prefrontal verbal processing circuits that presumably may not be active in  
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7 certain variants of depression (Heller et al., 1997; Nitschke et al., 1999). Here, we therefore  
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9 examined the relationship between left prefrontal activity and self-reported rumination (Nolen-  
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11 Hoeksema & Morrow, 1991) and whether variation in rumination accounted for the impact of  
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13 anxious-apprehension on prefrontal EEG asymmetry.  
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## 20 Method

### 21 *Participants*

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24 Participants were a subset of adult women who provided EEG data as part of a large  
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26 multidisciplinary Program Project examining risk factors in childhood onset mood disorders  
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28 (Forbes, Shaw, et al., 2006; Miller et al., 2002). As detailed in Table 1, the sample included 18  
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30 women with COD and at least one anxious-apprehension diagnosis on the day of EEG recording  
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32 [GAD ( $n=14$ ), OCD ( $n=6$ ), or SAD ( $n=2$ )], 37 women with COD and no comorbid anxiety  
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34 diagnosis at the time of EEG acquisition, and 69 psychiatrically healthy controls who had no  
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36 current or lifetime internalizing psychopathology. Five participants in the COD and anxious-  
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38 apprehension group had a secondary diagnosis of either Panic Disorder or Specific Phobia at  
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40 EEG recording. Participants were right-handed and at least 18 years old. Exclusionary criteria for  
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42 the present analyses included current alcohol/substance abuse/dependence, a preexisting major  
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44 medical disorder, or intellectual deficits. Participants also had to provide sufficient artifact-free  
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46 EEG data, and diagnostic data needed to establish their clinical status on the day of EEG  
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48 recording. Informed consent was obtained prior to the first evaluation.  
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3 COD was operationalized as the onset of Major Depressive Disorder and/or Dysthymic  
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5 Disorder by age 14, meeting *Diagnostic and Statistical Manual, Fourth Edition* (DSM- IV;  
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7 American Psychiatric Association, 1994) criteria. Participants were recruited through prior  
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9 research studies or community media advertisements. Some healthy controls were recruited  
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11 using the Cole directory of households in neighborhoods comparable in socioeconomic status to  
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13 that of COD participants.  
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17 Control women were slightly older ( $M = 29.01$ ,  $SD = 5.82$ ) than both COD participants  
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19 with ( $M = 25.27$ ,  $SD = 3.60$ ;  $t(85) = 2.60$ ,  $p = .01$ ) and without ( $M = 25.24$ ,  $SD = 4.03$ ;  $t(104) =$   
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21  $3.51$ ,  $p = .001$ ) an anxious-apprehension diagnosis (Table 1). No control was taking psychotropic  
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23 medication at EEG recording. There was a weak trend for more COD participants with anxious-  
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25 apprehension (6/18) to be taking psychotropic medication than COD participants without  
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27 anxious-apprehension (5/37;  $\chi^2(1) = 2.97$ ,  $p = .15$ ). Accordingly, we conducted follow-up  
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29 analyses adjusting for age and psychotropic medication use on the day of EEG recording for all  
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31 significant effects in the present study.  
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37 As shown in Table 1, COD participants with an anxious-apprehension diagnosis were  
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39 more likely to be in a major depressive episode (MDE) at EEG recording; they also self-reported  
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41 greater depression severity, as indexed by the Beck Depression Inventory (BDI; Beck, Steer, &  
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43 Garbin, 1988). The majority of COD participants, however, were not clinically depressed at EEG  
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45 recording (39% of COD participants with, and 11% of COD participants without an anxious-  
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47 apprehension diagnosis had a current MDE at EEG recording). COD participants with and  
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49 without anxious-apprehension did not differ on the various indices of clinical and diagnostic  
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51 history we examined (Table 1).  
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### *Procedures*

All participants were part of a multi-disciplinary longitudinal investigation (Forbes, Shaw, et al., 2006; Miller et al., 2002). Diagnostic assessments included a psychiatric interview and the completion of self-report questionnaires, as detailed below. Resting-state EEG data were acquired in a separate laboratory session.

### *Psychiatric Diagnoses and Self-Report Measures.*

Diagnoses were made by highly experienced professional-level clinical evaluators and independent best-estimate psychiatrists. Diagnostic information was obtained through one of several means. For patients who had participated in a longitudinal naturalistic follow-up study since they were children (Kovacs et al., 1984), prior diagnoses had been derived through annual assessments with the semi-structured Interview Schedule for Children and Adolescents and its Follow-Up version for adults (Sherrill, & Kovacs, 2000), involving both the proband and a parent informant. All other participants were assessed via the Structured Clinical Interview for DSM-IV Axis I Disorders, Patient Edition (SCID; First, Spitzer, Gibbon, Williams, 1995), modified to include selected childhood diagnoses. For the SCID, a second informant also was required, as well as supporting clinical or medical records to verify childhood onset. Based on all data, two independent senior psychiatrists blind to EEG status provided final lifetime and current DSM best-estimate diagnoses. Disagreements were resolved by consensus.

Research indicates that diagnostic reliability (inter-rater, retest) is more strongly determined by underreporting (i.e., due to forgetting or social desirability) than fabrication (Kessler, & Wethington, 1991). To combat this, multiple salient private and public events (e.g., Halloween, a cousin's wedding) were used as markers to graphically chart clinical course during the diagnostic interviews, similar to approaches used by others (Warshaw, Keller, & Stout,

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3 1994). Such graphical methods yield data with good to excellent 1-year retest reliability  
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5 (Warshaw, Keller, & Stout, 1994). The diagnostic assessment that most closely followed the  
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7 EEG recording date was used to determine diagnostic status at the time of EEG recording  
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10 (*Median interval*=299 days; *SD*=278). The 21-item Beck Depression Inventory (BDI; Beck,  
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12 Steer, & Garbin, 1988) was used to assess state-related depressive symptoms ( $\alpha = .89$ ), and the  
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14 21-item Rumination subscale of the Response to Depression Questionnaire (RDQ-R; Nolen-  
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16 Hoeksema & Morrow, 1991) was used to assess trait rumination in response to sadness ( $\alpha = .88$ ).  
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### 20 *EEG Acquisition and Reduction.*

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22 Six 60-s trials (half eyes-open/-closed; order counterbalanced) were collected using 21  
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24 electrodes (AF3/AF4, F3/F4, F7/F8, FC1/FC2, FC5/FC6, C3/C4, T7/T8, P3/P4, P7/P8, O1/O2,  
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26 Fz) referenced to Cz and grounded at AFz (impedances <10 k $\Omega$ ; homologs  $\pm 0.5$ k $\Omega$ ). Data were  
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28 filtered (0.01-100Hz; 60Hz), amplified, and digitized (512 Hz).  
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32 Artifacts (high/low variance; deviations  $\pm 65\mu\text{V}$ ) were rejected using code adapted from  
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34 EEGLAB (<http://scn.ucsd.edu/eeglab>) (Delorme, Sejnowski, & Makeig, 2007). Unusable  
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36 channels were spline-interpolated if a nearest-neighbor was usable. Data were then re-referenced  
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38 to an average montage and power density ( $\mu\text{V}^2/\text{Hz}$ ) was estimated for the alpha-1 (8-10Hz) band  
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40 using Hanning-windowed 1.024-s epochs (50% overlap). Alpha-1 was employed in accord with  
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42 prior work by our laboratory (Davidson, Marshall, Tomarken, & Henriques, 2000; Shackman,  
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44 McMenamin, Maxwell, Greischar, & Davidson, 2009). Asymmetry analyses employing  
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46 broadband alpha (8-13Hz) yielded similar results (not reported). Power densities were  $\log_{10}$   
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48 transformed and mean power was computed. Because alpha is an *inverse* measure of cerebral  
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50 activity (Allen, Coan, & Nazarian, 2004), negative asymmetry scores (Right—Left) were  
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52 interpreted as relatively less left-hemisphere activity or relatively more right-hemisphere activity.  
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3 In line with existing research (Allen, Coan, & Nazarian, 2004), hypothesis testing focused on  
4 mid-frontal (F3/F4) and lateral-frontal (F7/F8) asymmetry indices. We expected no differences  
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6 in parietal asymmetries given these regions' primary involvement in anxious-arousal, as opposed  
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8 to anxious-apprehension (Heller et al., 1997; Nitschke et al., 1999).  
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### 11 *Hypothesis Testing Strategy*

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13 *Group Mean Differences in Relative Left Prefrontal Activity:* Analyses of variance  
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15 (ANOVAs) were used to examine differences in mid- and lateral relative left prefrontal activity  
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17 for the three groups of subjects. Fisher's protected *t*-tests (Cohen, Cohen, West, & Aiken, 2003)  
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19 served to minimize familywise error rate, which requires a significant omnibus ANOVA *F* test in  
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21 order to proceed to pairwise comparisons and follow-up analyses. We conducted follow-up  
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23 analyses of covariance (ANCOVAs) for all significant effects adjusting for age and psychotropic  
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25 medication status on the day of EEG recording, as well as current MDE status, BDI scores, and  
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27 lifetime alcohol and substance disorder given evidence that a history of addiction modulates  
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29 prefrontal EEG asymmetry (Knott, Naccache, et al., 2008; Zinser, Fiore, Davidson, & Baker,  
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31 1999). We also conducted follow-up analyses on non-prefrontal asymmetry indices to assess the  
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33 extent to which findings were specific to the mid- and/or lateral-prefrontal region.  
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41 *Rumination and Relative Left Prefrontal Activity:* In the case of a significant omnibus, we  
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43 examined correlations between relative left prefrontal activity and rumination (RDQ-R) scores  
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45 among COD participants. Correlations were computed using all COD participants, as well as  
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47 separately for COD participants with and without an anxious-apprehension diagnosis. Next, we  
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49 re-ran the analyses of group mean differences on left prefrontal activity adjusting for RDQ-R  
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51 scores to assess whether variation in self-reported rumination accounted for the impact of  
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53 anxious-apprehension on prefrontal EEG asymmetry.  
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3 relative left-lateral frontal cortical activity,  $F(1, 85) = .10, p = .75, \eta_p^2 = .00$ , suggesting that  
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5 clinical levels of anxious-apprehension mask the relationship between depression and prefrontal  
6  
7 EEG asymmetry. The three participant groups did not significantly differ at mid-frontal sensors,  
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9  $F(2,121) = .83, p = .44, \eta_p^2 = .01$ . Exploratory analyses failed to uncover significant group mean  
10  
11 differences in other regions (e.g., P3/4;  $p_s > .32, \eta_p^2_s < .02$ ). Finally, there were no significant  
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13 correlations between relative left-lateral frontal activity and either MDE status or BDI scores  
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15 when the two COD groups were combined, or separately among COD participants with and  
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17 without an anxious-apprehension diagnosis ( $r_s < .18; p_s > .30$ ).

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23 *Rumination and Relative Left Prefrontal Activity:* There were no significant correlations  
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25 between relative left-lateral frontal activity and RDQ-R scores when the two COD groups were  
26  
27 combined, or separately among COD participants with and without an anxious-apprehension  
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29 diagnosis ( $p_s > .10$ ). Furthermore, adjusting for rumination did not attenuate the aforementioned  
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31 across-group differences on resting left lateral-frontal cortical activity (F7/F8),  $F(2,110) = 4.80,$   
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33  $p = .01, \eta_p^2 = .08$ .

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37 *Hemispheric Specificity:* The three participant groups did not differ on alpha power at  
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39 either the right,  $F(2,121) = 1.98, p = .14, \eta_p^2 = .03$ , or left,  $F(2,121) = 1.44, p = .24, \eta_p^2 = .02$ ,  
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41 lateral-frontal electrodes, separately. This suggests that diagnosis is more closely related to the  
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43 difference in activity between right and left lateral frontal activity (i.e., the asymmetry index).  
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## 48 Discussion

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51 The present study is the first investigation of whether a co-morbid anxiety disorder  
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53 characterized by high levels of anxious-apprehension (GAD, OCD, or SAD) moderates the  
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55 relationship between a lifetime history of depression and prefrontal EEG asymmetry. Consistent  
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3 with our second hypothesis, COD participants who were free of a comorbid anxious-  
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5 apprehension diagnosis had significantly lower levels of left prefrontal activity compared to both  
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7 COD participants with an anxious-apprehension diagnosis and healthy controls. These effects  
8  
9 remained significant after adjusting for a range of variables, including age, current psychotropic  
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11 medication use, current Major Depressive Episode status, self-reported depression symptom  
12  
13 severity (as indexed by the BDI), and lifetime alcohol and substance disorder status. The fact that  
14  
15 the majority of COD participants free of an anxious-apprehension diagnosis were not  
16  
17 experiencing a Major Depressive Episode at EEG recording, and the fact that mean differences  
18  
19 remained significant after adjusting for both Major Depressive Episode status at EEG recording  
20  
21 and self-reported depression severity, highlight the trait-like quality of reduced relative left  
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23 frontal EEG activity. These findings are consistent with previous research that frontal EEG  
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25 asymmetry is a state-independent marker of depression (see Thibodeau et al., 2006 for meta-  
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27 analytic review).

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34 In contrast, COD participants with a comorbid anxious-apprehension diagnosis did not  
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36 differ from healthy controls on prefrontal EEG asymmetry. There were no significant differences  
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38 between any of these groups at non-frontal asymmetry indices, suggesting anatomical specificity  
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40 to the prefrontal cortex. Overall, these findings indicate that decreased left frontal activity may  
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42 be specific to a variant of depression that does not co-occur with disorders of anxious-  
43  
44 apprehension, and that comorbid anxious-apprehension suppresses or masks the relationship  
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46 between depression and prefrontal asymmetry. Relatedly, these findings also suggest a potential  
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48 disconnect between frontal EEG asymmetry and both structural (e.g., Krueger, 1999; Prenoveau  
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50 et al., 2010; Kendler et al., 2003) and genetic (e.g., Kendler et al., 1992; Kendler et al., 2003)  
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52 models of internalizing disorders, as these models predict reduced relative left frontal activity  
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3 among COD participants with an anxious-apprehension diagnosis. This disconnect has potential  
4 implications for the recently launched NIMH Research Domain Criteria (RDoC) initiative which  
5 aims to examine the relationship between mechanistic dimensions and symptom profiles that  
6 either cut across traditional disorder categories or that are unique to specific clinical phenomenon  
7 (Insel et al., 2010; Nusslock, Walden, & Harmon-Jones, 2015; Nusslock & Alloy; in press). Our  
8 results suggest that a particular symptom profile (e.g., anxious-apprehension) may have a distinct  
9 relationship with biological indices at different levels of analysis (e.g., genetic vs.  
10 neurophysiological).

11  
12 The present study also has implications for understanding inconsistencies in the literature  
13 on depression and prefrontal EEG asymmetry. Although a meta-analytic review (Thibodeau et  
14 al., 2006) documents a moderate effect size for the relationship between decreased left frontal  
15 activity and depression, some studies have failed to replicate this effect (e.g., Reid, Duke, &  
16 Allen, 1998). Our results suggest that studies that, either by design or chance, have a high  
17 percentage of depressed individuals with co-occurring anxious-apprehension are likely to  
18 observe a weaker (or no) relationship between relative left-frontal activity and depression  
19 because anxious-apprehension may mask this relationship. This possibility should be taken into  
20 account by future research on frontal EEG asymmetry and depression.

21  
22 As noted, Heller and colleagues (Heller et al., 1997; Nitschke et al., 1999) proposed that  
23 the strong verbal or ruminative component inherent in worry may be responsible for the elevated  
24 left prefrontal activity in anxious-apprehension given the left hemisphere's dominance for  
25 language in right handed individuals. Although our COD participants with anxious-apprehension  
26 did report elevated levels of rumination compared to both COD participants with no anxious-  
27 apprehension and healthy controls (Table 1), left lateral frontal activity and rumination were  
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3 unrelated in the former group. Furthermore, adjusting for rumination did not attenuate across-  
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5 group differences on relative left-frontal activity. Our reliance on one self-report measure of  
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7 rumination, however, may limit our ability to assess the cognitive processes to which Heller and  
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9 colleagues refer, and future research is needed to examine the mechanisms underlying elevated  
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11 left prefrontal activity in anxious-apprehension. This work may benefit from moving beyond  
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13 self-report indices of rumination to using tasks designed to provoke left hemispheric language  
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15 processes (e.g., verbal dichotic listening tasks; Wexler and Goodman, 1991). In conducting this  
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17 research, it will be important to recognize that the profile of left frontal activation associated with  
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19 the verbal rumination of anxiety, or language processes more general, may be in a distinct left  
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21 prefrontal cluster than the left frontal hypoactivation associated with depression and no comorbid  
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23 anxiety. However, EEG measures are likely to have insufficient spatial resolution to  
24  
25 disambiguate this and it may be important to use imaging measures to separate these effects.  
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31 An additional limitation of the present study is that we did not include symptom measures  
32  
33 directly assessing the presence and severity of anxious-apprehension. It will be important for  
34  
35 future research to include symptom measures to corroborate anxious-apprehension diagnoses and  
36  
37 determine whether certain symptoms more strongly moderate the relationship between a lifetime  
38  
39 history of depression and prefrontal EEG asymmetry. The present study is also limited by the  
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41 fact that we did not include COD participants with an anxious-arousal diagnosis. Growing  
42  
43 evidence, however, indicates that disorders of anxious-arousal, such as panic disorders and  
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45 phobias are associated with decreased left (or increased right) frontal activity (Davidson,  
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47 Marshall, Tomarken, Henriques, 2000; Heller, et al., 1997; Moscovitch et al., 2011; Wiedemann,  
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49 et al., 1999). Thus, despite significant symptom (Krueger, 1999) and genetic (Kendler, et al.,  
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51 1992; 2003) similarity between depression and disorders of anxious-apprehension, the profile of  
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3 frontal EEG asymmetry observed in depression appears to be more similar to that observed in  
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5 disorders of anxious-arousal. In line with this perspective, Bruder and colleagues (1997) reported  
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7 that depressed individuals with a comorbid anxiety disorder characterized by anxious-arousal  
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9 (primarily social phobia or panic disorder) displayed the expected profile of decreased left  
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11 prefrontal activity. Future research should test the predicted similarity in frontal EEG asymmetry  
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13 between depression and disorders of anxious-arousal and examine mechanisms underlying this  
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15 proposed neurophysiological similarity.  
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### 21 22 *Conclusion*

23  
24 In sum, clinical levels of anxious-apprehension (GAD, OCD, SAD) moderate the  
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26 relationship between prefrontal EEG asymmetry and a lifetime history of depression risk, such  
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28 that only COD participants free of an anxious-apprehension diagnosis displayed decreased left  
29  
30 frontal activity. A key challenge for future research is to identify the mechanisms supporting  
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32 heightened left prefrontal activity among individuals with elevated anxious-apprehension, as  
33  
34 well as neurophysiological similarities and differences between depression and disorders of  
35  
36 anxious-arousal. Finally, results from the present study may help refine neuromodulation  
37  
38 techniques for treating internalizing disorders (e.g., Kalu et al., 2012). Transcranial magnetic  
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40 stimulation (TMS) and/or transcranial direct current stimulation (tDCS) for normalizing  
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42 prefrontal asymmetry may be particularly useful for depressed individuals free of co-occurring  
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44 anxious-apprehension.  
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## Footnote

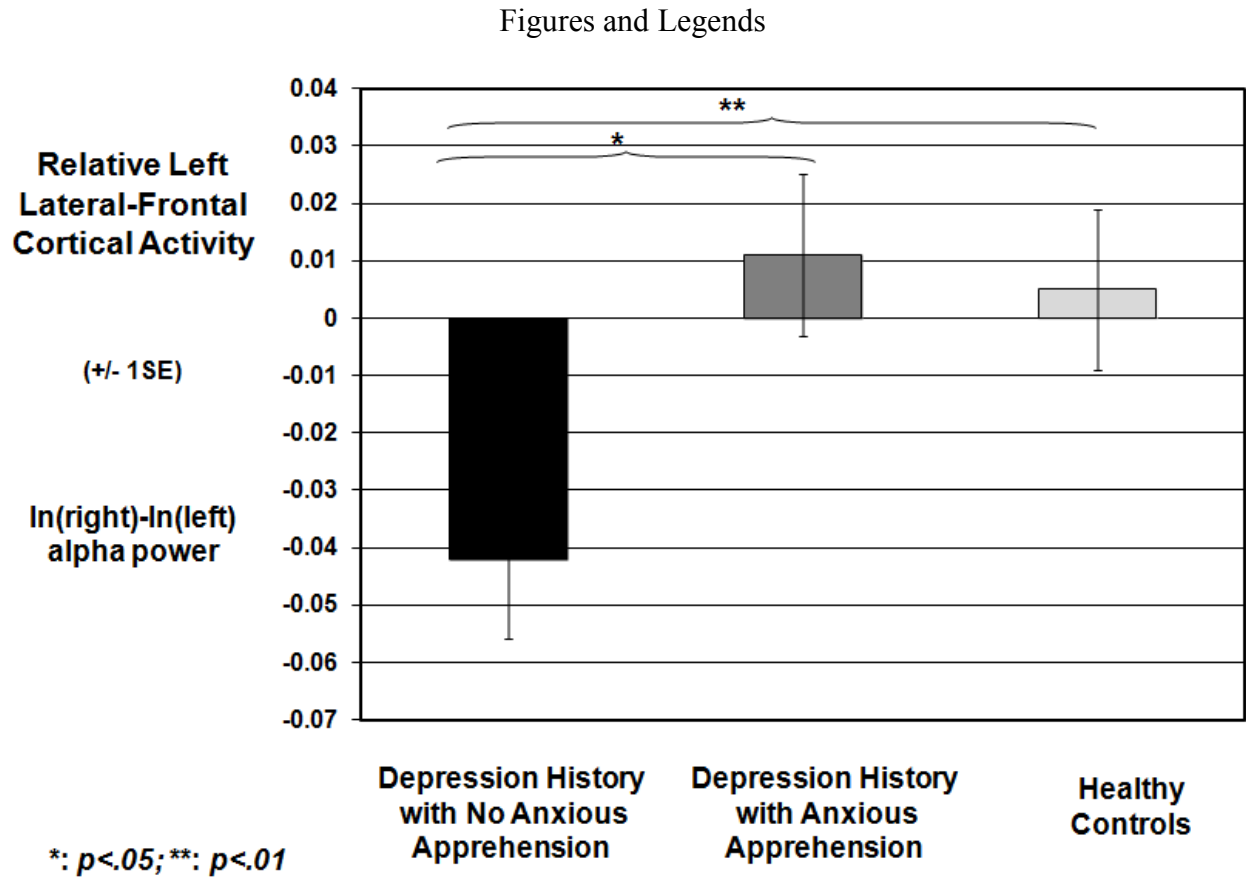
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1. There are inconsistencies in how people describe negative values in prefrontal EEG asymmetry research, with some studies referring to this as decreased relative left prefrontal activity and others increased relative right prefrontal activity. To maximize consistency with prior publications from our group, we use the term decreased left prefrontal activity.

**Table 1:** Demographic and Clinical Variables

	COD with Anxious-Apprehension		COD without Anxious-Apprehension		Healthy Control		Contrast P-Value <.05
	Mean or Percentage	<i>SD</i>	Mean or Percentage	<i>SD</i>	Mean or Percentage	<i>SD</i>	
Age at EEG	25.26	3.59	25.25	4.03	29.01	5.82	<i>b,c</i>
BDI	20.70	10.78	8.84	6.37	2.67	3.88	<i>a,b,c</i>
RDQ-R	53.53	9.42	38.84	11.84	27.02	5.93	<i>a,b</i>
Age at First MDE	13.54	4.60	12.65	2.82	-	-	
Lifetime Number of MDE	3.33	1.94	2.43	1.55	-	-	
Psychotropic Medication Use, Current	33%	-	14%	-	0%	-	<i>b,c</i>
Psychotropic Medication Use, Lifetime	78%	-	89%	-	4%	-	<i>b,c</i>
Psychiatric Hospitalization Lifetime	61%	-	54%	-	0%	-	<i>b,c</i>
MDE, Current	39%	-	11%	-	0%	-	<i>a,b,c</i>
Alcohol Disorder, Lifetime	44%	-	35%	-	6%	-	<i>b,c</i>
Substance Disorder, Lifetime	6%	-	19%	-	0%	-	<i>c</i>

*a* = COD with Anxious-Apprehension significantly different from COD without Anxious-Apprehension at  $p < .05$ ; *b* = COD with Anxious-Apprehension significantly different from Healthy Controls  $p < .05$ ; *c* = COD without Anxious-Apprehension significantly different from Healthy Controls  $p < .05$ . BDI = Beck Depression Inventory; RDQ-R = Response to Depression Questionnaire – Rumination; MDE = Major Depressive Episode.



**Figure 1: Relative left lateral-frontal cortical activity (F7/F8 asymmetry index) as a function of diagnosis.** Depression refers to lifetime history of childhood onset depression. Anxious-apprehension refers to a current diagnosis of Generalized Anxiety Disorder, Obsessive Compulsive Disorder, or Separation Anxiety Disorder. Error bars depict SE.